



AGENDA ITEM: 7

AUDIT AND GOVERNANCE COMMITTEE:

28 January 2014

Report of: Borough Treasurer

Relevant Managing Director: Managing Director (People and Places)

**Contact for further information: Mr M.Coysh (Extn. 2603)
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SUBJECT: INTERNAL AUDIT ACTIVITY TO DECEMBER 2013

Wards affected: Borough wide

1.0 PURPOSE OF THE REPORT

1.1 To advise of progress against the 2013/14 Internal Audit Plan.

2.0 RECOMMENDATION

2.1 That Members note progress in the year to date.

3.0 BACKGROUND

3.1 Members approved the 2013/14 Internal Audit Plan in April and the Internal Audit Manager brings written reports to each meeting of this Committee to update on progress against the plan.

3.2 This is the third such report for the year and covers activity against the plan up until December. The information contained in this report will feed into and inform the overall opinion in the Internal Audit Annual Report issued at the year end.

4.0 INTERNAL AUDIT ACTIVITY TO DATE

4.1 A report summarising progress to date is attached and the Internal Audit Manager will attend the meeting to address any questions Members may have.

4.2 No significant issues have arisen from Internal Audit's work in the third quarter that would merit being drawn specifically to the attention of the Committee.

4.3 Progress on completing the audit plan is similar to that in recent years, and it is expected that all the main financial audits will be completed by the end of March.

5.0 SUSTAINABILITY IMPLICATIONS/COMMUNITY STRATEGY

5.1 There are no significant sustainability impacts associated with this report and, in particular, no significant impact on crime and disorder. The report has no significant links with the Sustainable Community Strategy.

6.0 FINANCIAL AND RESOURCE IMPLICATIONS

6.1 All the activity referred to in this report is covered by existing budget provisions.

7.0 RISK ASSESSMENT

7.1 This report summarises progress against Internal Audit's work programme to date. Internal Audit's work is a key source of assurance to this Committee that risks to the Council's objectives are being properly managed.

Background Documents

There are no background documents (as defined in Section 100D(5) of the Local Government Act 1972) to this Report.

Equality Impact Assessment

The decision does not have any direct impact on members of the public, employees, elected members and / or stakeholders. Therefore no Equality Impact Assessment is required.

Appendices

1. INTERNAL AUDIT THIRD QUARTER ACTIVITY UPDATE REPORT.